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ATTORNEYS AT LAW

**Fax**

Date: August 31, 2004

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Client Matter#: 21024-102

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From: Robert W. Gauthier Sender's Number: 1175 User #: 1006  
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**Message**

Application No. 09/974,023  
Atty. Docket No. RAK-001.02  
Title: Embedded Capacitor Multi-Chip Modules

Please find RCE Transmittal along with supporting documentation filed July 25, 2003.

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Application No.: 09/974,023

Atty. Docket No. RAK-001.02

Applicant(s): Kling, et al.

Title: *Embedded Capacitor Multi-Chip Modules*

The following was/were received in the U.S. Patent & Trademark Office mail room on the date stamped hereon:

1. Request for Continued Examination (RCE) Transmittal (1 pg.);
2. Amendment and Response to Final Office Action (10 pgs.);
3. Submission of Formal Drawings (1 pg.);
4. Formal Drawings of Figs. 1-7 (4 sheets);
5. Check in the amount of \$750 for the RCE fee; and
6. This return postcard.

Atty. RWG/las

Mailing Date: July 25, 2003

Application No.: 09/974,023

Atty. Docket No. RAK-001.02

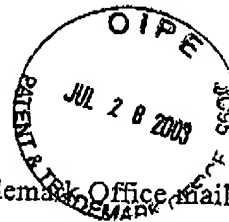
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<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b>  Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).	Application Number	09/974,023
	Filing Date	October 10, 2001
	Examiner Name	Hoai Pham
	First Named Inventor	Kling, et al.
	Group Art Unit	2814
	Attorney Docket Number	RAK-001.02

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

- Submission required under 37 C.F.R. § 1.114**
  - ☐ Previously submitted
    - ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
    - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - ☐ Other \_\_\_\_\_
  - Enclosed
    - ☒ Amendment/Reply
    - ☐ Affidavit(s)/Declaration(s)
    - ☐ Information Disclosure Statement (IDS)
    - ☒ Other Formal Drawings (Figs. 1-7, 4 sheets)
- Miscellaneous**
  - ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
  - ☐ Other \_\_\_\_\_
- Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
  - ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 08-1448
    - ☒ RCE fee required under 37 C.F.R. § 1.17(e)
    - ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
    - ☐ Other \_\_\_\_\_
  - ☒ Check in the amount of \$ 750.00 enclosed
  - ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print /Type)	Robert W. Gauthier	Registration No. (Attorney/Agent)	35,153
Signature		Date	July 25, 2003

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:			
Name (Print /Type)	John Barretto	Date	July 25, 2003
Signature			

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